

11/30/00

JC915 U.S. PTO

12-01-00

A  
Box segPlease type a plus sign (+) inside this box → ☐PTO/SB/05 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	CIR 2-005
First Inventor	Pierre L. Triozzi
Title	Non-Cytolytic Soluble Factor From Activated...
Express Mail Label No.	EL 759336499 US

JC490 U.S. PTO  
09/27/198

00/30/11

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 86]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 30]
5. Oath or Declaration [Total Pages 116]
  - a. ☒ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - b. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☒ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☒ paper
  - c. ☒ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

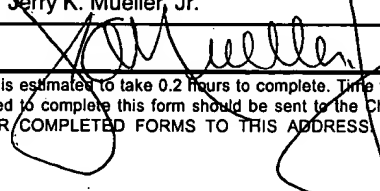
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name	Jerry K. Mueller, Jr.				
Address	7700 Rivers Edge Drive				
City	Columbus	State	OH	Zip Code	43235
Country	US	Telephone	614 436-0600	Fax	614 436-0057

Name (Print/Type)	Jerry K. Mueller, Jr.	Registration No. (Attorney/Agent)	27,576
Signature		Date	November 30, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

The PTO  
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4/10/00  
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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$889.00)

## Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Pierre L. Triozzi
Examiner Name	
Group Art Unit	
Attorney Docket No.	CIR 2-005

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number 13-4830
- Deposit Account Name Mueller & Smith, LPA
- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ Payment Enclosed:
- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

1. BASIC FILING FEE				
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	
101 710 201 355		Utility filing fee	355.00	
106 320 206 160		Design filing fee		
107 490 207 245		Plant filing fee		
108 710 208 355		Reissue filing fee		
114 150 214 75		Provisional filing fee		
SUBTOTAL (1)			(\$)	355.00

2. EXTRA CLAIM FEES				
Total Claims	Extra Claims	Fee from below	Fee Paid	
66	-20** = 46	x 9.00	= 414.00	
Independent Claims	5	-3** = 2	x 40.00	= 80.00
Multiple Dependent				

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	
103 18 203 9		Claims in excess of 20		
102 80 202 40		Independent claims in excess of 3		
104 270 204 135		Multiple dependent claim, if not paid		
109 80 209 40		** Reissue independent claims over original patent		
110 18 210 9		** Reissue claims in excess of 20 and over original patent		

SUBTOTAL (2) (\$494.00)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

3. ADDITIONAL FEES				
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	
105 130 205 65		Surcharge - late filing fee or oath		
127 50 227 25		Surcharge - late provisional filing fee or cover sheet		
139 130 139 130		Non-English specification		
147 2,520 147 2,520		For filing a request for ex parte reexamination		
112 920* 112 920*		Requesting publication of SIR prior to Examiner action		
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action		
115 110 215 55		Extension for reply within first month		
116 390 216 195		Extension for reply within second month		
117 890 217 445		Extension for reply within third month		
118 1,390 218 695		Extension for reply within fourth month		
128 1,890 228 945		Extension for reply within fifth month		
119 310 219 155		Notice of Appeal		
120 310 220 155		Filing a brief in support of an appeal		
121 270 221 135		Request for oral hearing		
138 1,510 138 1,510		Petition to institute a public use proceeding		
140 110 240 55		Petition to revive - unavoidable		
141 1,240 241 620		Petition to revive - unintentional		
142 1,240 242 620		Utility issue fee (or reissue)		
143 440 243 220		Design issue fee		
144 600 244 300		Plant issue fee		
122 130 122 130		Petitions to the Commissioner		
123 130 123 130		Petitions related to provisional applications		
126 180 126 180		Submission of Information Disclosure Stmt		
581 40 581 40		Recording each patent assignment per property (times number of properties)	40.00	
146 710 246 355		Filing a submission after final rejection (37 CFR § 1.129(a))		
149 710 249 355		For each additional invention to be examined (37 CFR § 1.129(b))		
179 710 279 355		Request for Continued Examination (RCE)		
169 900 169 900		Request for expedited examination of a design application		
Other fee (specify)				
SUBTOTAL (3)			(\$)	40.00

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Jerry K. Mueller, Jr.	Registration No. (Attorney/Agent)	27,576
Signature		Telephone	(614) 436-0600
		Date	11/27/00

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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